## 10/576358 IAP20 Rec's Furto 17 APR 2006

PATENT Customer No. 22,852 Attorney Docket No. 09641.0011-00000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage of International Application No. PCT/GB2004/004401 of: SMITH et al.	) )
	) Group Art Unit: To be assigned
Application No.: To be assigned	) ) Examiner: To be assigned
PCT Application Filed: 15 October 2004	)
§ 371 date: 16 October 2003	) Confirmation No.: To be assigned
For: IMPROVED CONTROL OF ES CELL SELF RENEWAL AND LINEAGE SPECIFICATION, AND MEDIUM THEREFOR	)) ) )

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed within three months of the date of entry of the national stage in an international application.

Copies of the listed foreign and non-patent literature documents are attached.

Copies of the U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Express Mail Label No. FV (084 133 11)
Date of Deposit:
By:

10/576358 LAZONA J. C., FLU 17 APR 2008 PATENT

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This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: 17 April 2006

Nicole L. M. Valtz Reg. No. 47,150



IDS Form PTO/SB/08: Substitute for form 1449A/PTO			Complete if Known			
		Application Number	To be assigned, and			
INFORMATION DISCLOSURE		IRF	Filing Date	Herewith (17/4pri 2006) 0 5 5		
STATEMENT BY APPLICANT				First Named Inventor	Austin Smith	
STATEMENT BY APPLICANT		Art Unit	To be assigned			
(Use as many sheets as necessary)		Examiner Name	To be assigned			
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U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS						
Examiner Cite Initials No.	Cite	Document Number	Issue or	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
	No.'	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY		Relevant Passages or Relevant Figures Appear	
		US-2002/0022267	02-21-2002	Pera		
		US-				
		US-				
		US-				
		US-				

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		WO 03/095628 A2	11/20/2003	University of Edinburgh		
						-

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>			
		Great Britain Search Report for Great Britain Application No. 0324270.8, dated 27 February 2004.				
		International Search Report for PCT/GB2004/004401, mailed 8 August 2005				
		Daheron et al., "LIF/STAT3 Signaling Fails to Maintain Self-Renewal of Human Embryonic Stem Cells", Stem Cells, 22:770-778 (2004)				
		Finley et al., "BMP-4 Inhibits Neural Differentiation of Murine Embryonic Stem Cells", J. Neurobiology, 40:271-287 (1999)				
		Hollnagel et al., "Id Genes Are Direct Targets of Bone Morphogenetic Protein Induction in Embryonic Stem Cells", J. Bio. Chem., 274:19838-19845 (1999)				
		Nakashima et al., "Embryonic Stem Cells: Staying Plastic on Plastic", Nature Medicine, 10:23-24 (2004)				
		Nogueira et al., "Regulation of Id Gene Expression during Embryonic Stem Cell-Derived Hematopoeitic Differenitation", Biochem. Biophys. Res. Comm., 276:803-812 (2000)				
		Reubinoff et al., "Embryonic Stem Cell Lines from Human Blastocysts: Somatic Differentiation In Vitro", Nature Biotech., 18:399-404 (2000)				
		Temple S., "Embryonic Stem Cell Self-Renewal, Analyzed", Cell, 115:247-248 (2003)				
		Ying et al., "BMP Induction of Id Proteins Suppresses Differentiation and Sustains Embryonic Stem Cell Self-Renewal in Collaboration with STAT3", Cell, 115:281-292 (2003)				

Examiner	Da	ate		
Signature	Con	onsidered	 	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.